

<u>Company</u>	<u>Sub-Function</u>	<u>Name</u>	<u>Final Issue Act</u>	<u>Assurance Opinion/Consulting intention</u>	<u>High Importance</u>
Adults & Communities	Procurement	Adult Learning Replacement System	27-Feb-13	Ongoing system development advice	No
Adults & Communities	Developments	SSIS Replacement - Gen Audit Controls	01-Mar-13	Ongoing system development advice	No
Adults & Communities	Developments	SSIS Replacement - ICT Controls	29-Mar-13	Ongoing system development advice	No
Adults & Communities	Amounts Due	Payments to Providers	25-Apr-13	Substantial	No
Adults & Communities	Amounts Due	Residential Care Debt	11-Jan-13	Substantial	No
Chief Executives	Financial Liabilities	YOS - Ext Inspection & Safeguarding	22-Feb-13	Full	No
Children & Young Peoples Service	Governance	Restruct & Ongoing LA resp post acad	27-Mar-13	Substantial	No
Children & Young Peoples Service	Financial Liabilities	Serious Case Reviews	18-Mar-13	Substantial	No
Children & Young Peoples Service	Operational	Redundancy in Schools	29-Mar-13	Substantial	No
Children & Young Peoples Service	Financial Liabilities	Quality of Music Tuition	11-Jan-13	Substantial	No
Children & Young Peoples Service	Information	Children in Need Census / SSDA903	04-Mar-13	Substantial	No
Children & Young Peoples Service	Information	Common Assessment Framework	26-Mar-13	Substantial	No
Children & Young Peoples Service	Developments	Framework-I	25-Mar-13	Substantial	No
Corporate - Finance	Governance	Community Budgets	15-Mar-13	Ongoing system development advice	No
Corporate - Finance	Potential Liabilities	NFI Data Checking	31-Mar-13	Data download + ongoing queries	No
Corporate - Finance	Developments	EMSS - Key Processes Overview	28-Feb-13	Substantial	No
Corporate - Finance	Developments	Insurance Claims Handling	29-Mar-13	Ongoing system development advice	No
Corporate - Finance	Developments	EMSS - Strategic Audit Work	29-Mar-13	Audit arrangements LCC & NCC	No
Corporate - Finance	Financial Assets	Treasury Management	28-Feb-13	Substantial	No
Corporate - Finance	Potential Liabilities	Counter Fraud	25-Mar-13	Substantial	No
Corporate - HR	Financial Liabilities	Implementation of Terms & Conds Rev	27-Mar-13	Full	No
Corporate - HR	Developments	Mstar - Agency Workers	25-Mar-13	Advice to project team	No
Corporate - HR	Financial Liabilities	Rechecking of CRBs	25-Mar-13	Substantial	No
Corporate - HR	Information	iExpenses - Management Information	27-Mar-13	Substantial	No
Corporate - ICT	Governance	Risk Assessment Process	29-Mar-13	Early advice on process change	No
Corporate - ICT	Governance	Multi Agency Sharing Hub	29-Mar-13	Early advice on process change	No
Corporate - ICT	Information	Policies	29-Mar-13	Commentary on changes	No
Corporate - ICT	Information	Telephony Project Board	29-Mar-13	Advice at project board	No
Corporate - ICT	Physical Assets	Hardware Inventory	06-Mar-13	Substantial	No
Corporate - ICT	Financial Liabilities	Licences	06-Mar-13	Substantial	No
Corporate - Property	Information	Property Asset Management	29-Mar-13	Attend and advise project board	No
Corporate - Resources	Operational	ICT Resilience Review BDR	29-Mar-13	Advice to s151 Officer	No
Corporate - Resources	Information	emPSN Audit Panel	29-Mar-13	Agree panel roles amongst auditors	No
Corporate - Resources	Advice	SIM Card School Meals Spec Inv	29-Mar-13	Ongoing system development advice	No
Corporate Effects	Governance	Efficiency & Service Reduction Prog	11-Mar-13	Full	No
Corporate Effects	Human Resources	File Management - Including CSC, A&C	26-Mar-13	Substantial	No
Corporate Governance	Payables	Big Society	08-Jan-13	Substantial	No
Environment & Transport	Governance	Capital Programme	25-Apr-13	Substantial	No
Environment & Transport	Procurement	School Transport Contracts	08-Jan-13	Full	No
Environment & Transport	Physical Assets	O Licences	28-Feb-13	Investigation for s151 Officer	No
Environment & Transport	Payables	Notice Processing Unit	18-Mar-13	Periodic advice on system development	No
Environment & Transport	Developments	WasteTrac - New Waste Data System	01-Mar-13	Periodic advice on system development	No
Environment & Transport	Developments	I-Proc Disposal & Recycling Credits	01-Mar-13	Periodic advice on system development	No
Environment & Transport	Developments	Transformation of Highways Works	04-Mar-13	Substantial	No
Environment & Transport	Procurement	Midlands Highway Alliance	26-Mar-13	Substantial	No

<u>Department</u>	<u>Sub-Function</u>	<u>Name</u>	<u>Final Issue Act</u>	<u>Audit Opinion</u>	<u>High Importance</u>
Pension Fund	Governance	Investments Funding	20-Feb-13	Full	No
Pension Fund	Payroll	Pensions & Lump Sums	22-Feb-13	Full	No
Pension Fund	Payroll	Pensions Joint Audit	22-Mar-13	Full	No
Academies	Primary School	South Wigston Parkland Prim HlthChk	31-Jan-13	Visit	No
Academies	Primary School	Broomfields Primary School	31-Mar-13	Other - Complete	No
Academies	Primary School	Mkt Harb Ridgeway Primary - HlthChk	31-Jan-13	Visit	No
Academies	Primary School	Kibworth Primary School - HlthChk	04-Feb-13	Visit	No
Academies	Primary School	Glen Hills Primary - Full	04-Feb-13	Visit	No
Academies	Primary School	Mkt Harb Farndon Fields HthChk	01-Mar-13	Visit	No
Academies	Primary School	LFE StaffordLeys Primary Full	26-Mar-13	Visit	No
Academies	High School	Groby Brookvale High - HlthChk	29-Jan-13	Visit	No
Academies	High School	Leysland High School Hthck	15-Feb-13	Visit	No
Academies	High School	Brockington College Full	09-Mar-13	Visit	No
Academies	High School	Hinckley Mount Grace FULL	18-Mar-13	Visit	No
Academies	Secondary/ Upper School	Countesthorpe College HlthChk	20-Mar-13	Visit	No
Academies	Secondary/ Upper School	Wreake Valley HlthChk	27-Mar-13	Visit	No
Academies	Special School	Hinckley Dorothy Goodman - Full	25-Jan-13	Visit	No
Leicestershire Fire & Rescue	Financial Assets	Treasury Management	14-Jan-13	Substantial	No
Leicestershire Fire & Rescue	Payroll	Payroll 12-13 Interim Audit	05-Mar-13	Full	No
Leicestershire Fire & Rescue	Potential Liabilities	NFI Data Checking	31-Mar-13	Other - Complete	No
Leicestershire Fire & Rescue	Payroll	Employee Claims Investigation	31-Mar-13	Other - Complete	No
Leicestershire Fire & Rescue	Accounting/ General Ledger	BACS	14-Jan-13	Substantial	No
Districts	Developments	Charnwood Client Management	29-Mar-13	Other - Complete	No
Districts	Developments	Blaby Key ICT Control	31-Mar-13	Incomplete @ 31.3.13	No
East Midlands Councils	Financial Assets	EMC 12/13 Audit	20-Feb-13	Substantial	No
Connexions	Payroll	Payroll	11-Mar-13	Substantial	No